

Report To: Corporate Governance Committee

Date of Meeting: 13 July 2016

Lead Member / Officer: Ivan Butler – Head of Internal Audit

Report Author: Ivan Butler – Head of Internal Audit

Title: Revised Internal Audit Assurance Plan 2016-17

- 1. What is the report about?**
This report provides the Committee with a revised Internal Audit Annual Assurance Plan for July 2016 to March 2017. The Plan provides details of the proposed Internal Audit projects for the remainder of the year that will allow the Head of Internal Audit to provide an ‘opinion’ on the adequacy and effectiveness of the Council’s framework of governance, risk and control during the year.
- 2. What is the reason for making this report?**
The Committee endorsed the original Plan in April 2016 but, due to upcoming maternity leave within the service, it is necessary to submit a revised Plan for consideration.
- 3. What are the Recommendations?**
The Committee endorses the Revised Internal Audit Annual Assurance Plan 2016-17 (Appendix 1)
- 4. Report details**
See Appendix 1 for the revised Plan. The proposed plan of work will allow the Head of Internal Audit to provide an overall ‘opinion’ in his annual report for 2016-17. This Committee will receive regular information reports on progress with delivery of the Plan.
- 5. How does the decision contribute to the Corporate Priorities?**
There is no decision required with this report. There is no direct contribution to the Corporate Priorities, but some projects in the Plan will review Corporate Priority areas and will provide assurance on their delivery.
- 6. What will it cost and how will it affect other services?**
Not applicable - there is no decision required with this report.
- 7. What are the main conclusions of the Equality Impact Assessment (EqIA) undertaken on the decision? The completed EqIA template should be attached as an appendix to the report**
Not applicable - there is no decision required with this report.
- 8. What consultations have been carried out with Scrutiny and others?**
The Head of Internal Audit met management teams of all services to discuss proposed Internal Audit work for the original Plan.

9. Chief Finance Officer Statement

Not applicable - there is no decision required with this report.

10. What risks are there and is there anything we can do to reduce them?

Failure to deliver an adequate level of internal audit may mean that the Head of Internal Audit cannot provide an annual 'opinion' on the adequacy and effectiveness of the Council's framework of governance, risk and control during the year. This would potentially lead to a significant governance issue being raised in the Council's 'annual governance statement' at the end of the financial year.

11. Power to make the Decision

Not applicable - there is no decision required with this report.